

## Appendix B



Southampton City  
Clinical Commissioning Group

Are local people getting good quality care?

Assessment for

Aug-13

Providers:	UHSFT	Solent	SHFT	SCAS	STC
Has local provider been subject to enforcement action by the CQC?	No	No	No	No	No
Has local provider been flagged as a quality compliance risk by Monitor and are requirements in place around breaches of provider licence conditions?	No	Y/A	No	No	Y/A
Has local provider been subject to enforcement action by the NHS TDA based on quality risk?	Y/A	No	Y/A	Y/A	Y/A
Does feedback from the Friends and Family test or any other patient feedback indicate any causes for concern?	No	No	No	No	No
Has the provider been identified as a negative outlier on SHFT or SHFT?	No	No	No	No	No
Do provider level indicators from the National Quality Dashboard show that:					
YSEA cases are above zero	QC- Yes	No	No	No	No
the provider has reported more difficult cases than trajectory	QC- No	No	No	No	No
YSA breaches are above zero	QC- Yes	No	No	No	No
QC- No	No	No	No	No	
Does the provider currently have any Unclosed Serious Untoward Incidents (SUIs)?	Yes	Yes	Yes	Yes	No
Has the provider experienced any Never Events during the last quarter?	QC- Yes	No	No	No	No
QC- No	No	No	No	No	

CCG:	
<b>Clinical Governance</b>	
Does the CCG have any outstanding conditions of authorisation in place on clinical governance?	No
Has the CCG self-assessed and identified any risks associated with the following:	
Concerns around quality issues being addressed regularly by the CCG governing body	No
Concerns around the arrangements in place to proactively identify early warnings of falling services	No
Concerns around the arrangements in place to deal with and learn from serious untoward incidents and never events	No
Concerns around being an active participant in its Quality Surveillance Group	No
<b>EPRR</b>	
If there was an emergency event in the last quarter, has the CCG self-assessed and identified any areas of concern on the arrangements in Winterbourne View	No
<b>Winterbourne View</b>	
Has the CCG self-assessed and identified any risks to progress against its Winterbourne View action plan	No

Overall RAG rating by Month			
Apr-13	Amber / Green	Oct-13	
May-13	Amber / Green	Nov-13	
Jun-13	Amber / Green	Dec-13	
Jul-13	Amber / Green	Jan-14	
Aug-13	Amber / Green	Feb-14	
Sep-13		Mar-14	

### Key to RAG rating

Green - all 'NO' responses

Amber/Green - One or more 'YES' responses but action plan in place that successfully mitigates patient risk

Amber-Red - One or more 'YES' responses and no action plan in place / plan does not successfully mitigate patient risk

Red - Enforcement action is being undertaken by the CQC, Monitor or TDA and the CCG is not engaged in proportionate action planning to address patient risk

Note - All Yes answers have action plans in place